

WSGR Wilson Sonsini Goodrich & Rosati
PROFESSIONAL CORPORATION

**RECEIVED
CENTRAL FAX CENTER**

AUG 25 2004

Date: August 25, 2004

To: Examiner Michael R. Vaughan, A/U 2131

Fax: 703.872.9306

☐ Use this fax
number only

Company: U.S. Patent and Trademark Office

Phone:

☐ Notify recipient
before sending

From: George A. Willman

Phone: 650.320.4946

Return Fax: 650.493.6811

Original: ☐ To follow via mail ☐ To follow via courier ☐ To follow via email ☒ Original will not follow

Fax Contains: 32 pages (including this sheet). If incomplete, call 650.493.9300 x7255.

Message:

In re application of: Rajeev Khanolkar et al.

Application No.: 09/640,606

Filed: August 17, 2000

For: Comprehensive Security Structure Platform for Network Managers

Please see attached Transmittal Form; Fee Transmittal; Amendment; Amendment, Petition and Fee To Delete and/or Add to Original Erroneously Named or Not Named Inventor(s) in Declaration--Nonprovisional Application; Assent of Assignee to Correction and/or Additional of Inventor(s); Statement of Inventor; Declaration for Utility or Design Patent Application

Ref: 26836.701.201

Return Original to: Linda Faye

Location: SR 1-2

650 Page Mill Road, Palo Alto, CA 94304-1050 • 650.493.9300 Tel • 650.493.6811 Fax • www.wsgr.com

This fax may contain confidential and privileged material for the sole use of the intended recipient. Any review or distribution by others is strictly prohibited.
If you are not the intended recipient please contact the sender and destroy all copies.

Entire Transmission Copyright © 2003 Wilson Sonsini Goodrich & Rosati. All Rights Reserved.

PTO/SB/21 (02-04)

Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

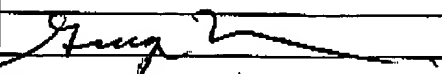
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/640,606	
	Filing Date	August 17, 2000	
	First Named Inventor	Rajeev Khanolkar	
	Art Unit	2131	
	Examiner Name	Michael R. Vaughan	
Total Number of Pages in This Submission	32	Attorney Docket Number	26836.701.201


ENCLOSURES (Check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input checked="" type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance communication to Technology Center (TC) <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Amendment, Petition and Fee to Delete and/or Add to Original Erroneously Named or Not Named Inventor(s) in Declaration--Nonprovisional Application--(37 C.F.R. §1.48(a))
Additional Enclosures: Assent of Assignee to Correction and/or Addition of Inventor(s) Statement of Inventor Declaration for Utility or Design Patent Application		

SIGNATURE OF APPLICANT, ATTORNEY OR AGENT

Firm or Individual name	CUSTOMER NO. 021971; George A. Willman, Reg. No. 41,378, WILSON SONSINI GOODRICH & ROSATI		
Signature			
Date	August 25, 2004		

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.			
Typed or printed name	Linda Faye (Facsimile No. 703.872.9306)		
Signature		Date	August 25, 2004

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

FEE TRANSMITTAL for FY 2004				<i>Complete if Known</i>																																																																																																																																																																																															
<i>Effective 10/01/2003. Patent fees are subject to annual revision.</i>				Application Number		09/640,606																																																																																																																																																																																													
<input type="checkbox"/> applicant claims small entity status. See 37 CFR 1.27.				Filing Date		August 17, 2000																																																																																																																																																																																													
				First Named Inventor		Rajeev Khanolkar																																																																																																																																																																																													
TOTAL AMOUNT OF PAYMENT (\$) 1,080.				Examiner Name		Michael R. Vaughan																																																																																																																																																																																													
				Art Unit		2131																																																																																																																																																																																													
				Attorney Docket No.		26836.701.201																																																																																																																																																																																													
METHOD OF PAYMENT (check all that apply)				FEE CALCULATION (continued)																																																																																																																																																																																															
<input type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other <input type="checkbox"/> None				3. ADDITIONAL FEES <table border="1" style="width:100%; border-collapse: collapse;"> <thead> <tr> <th>Large Fee Code</th> <th>Entity (\$)</th> <th>Small Fee Code</th> <th>Entity (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>1051</td><td>130</td><td>2051</td><td>65</td><td>Surcharge - late filing fee or oath</td><td></td></tr> <tr><td>1052</td><td>50</td><td>2052</td><td>25</td><td>Surcharge - late provisional filing fee or cover sheet</td><td></td></tr> <tr><td>1053</td><td>130</td><td>1053</td><td>130</td><td>Non-English specification</td><td></td></tr> <tr><td>1812</td><td>2,520</td><td>1812</td><td>2,520</td><td>For filing a request for <i>ex parte</i> reexamination</td><td></td></tr> <tr><td>1804</td><td>920*</td><td>1804</td><td>920*</td><td>Requesting publication of SIR prior to Examiner action</td><td></td></tr> <tr><td>1805</td><td>1,840*</td><td>1805</td><td>1,840*</td><td>Requesting publication of SIR after Examiner action</td><td></td></tr> <tr><td>1251</td><td>110</td><td>2251</td><td>55</td><td>Extension for reply within first month</td><td></td></tr> <tr><td>1252</td><td>420</td><td>2252</td><td>210</td><td>Extension for reply within second month</td><td></td></tr> <tr><td>1253</td><td>950</td><td>2253</td><td>475</td><td>Extension for reply within third month</td><td>930</td></tr> <tr><td>1254</td><td>1,480</td><td>2254</td><td>740</td><td>Extension for reply within fourth month</td><td></td></tr> <tr><td>1255</td><td>2,010</td><td>2255</td><td>1,005</td><td>Extension for reply within fifth month</td><td></td></tr> <tr><td>1401</td><td>330</td><td>2401</td><td>165</td><td>Notice of Appeal</td><td></td></tr> <tr><td>1402</td><td>330</td><td>2402</td><td>165</td><td>Filing a brief in support of an appeal</td><td></td></tr> <tr><td>1403</td><td>290</td><td>2403</td><td>145</td><td>Request for oral hearing</td><td></td></tr> <tr><td>1451</td><td>1,510</td><td>1451</td><td>1,510</td><td>Petition to institute a public use proceeding</td><td></td></tr> <tr><td>1452</td><td>110</td><td>2452</td><td>55</td><td>Petition to revive - unavoidable</td><td></td></tr> <tr><td>1453</td><td>1,330</td><td>2453</td><td>665</td><td>Petition to revive - unintentional</td><td></td></tr> <tr><td>1501</td><td>1,330</td><td>2501</td><td>665</td><td>Utility issue fee (or reissue)</td><td></td></tr> <tr><td>1502</td><td>480</td><td>2502</td><td>240</td><td>Design issue fee</td><td></td></tr> <tr><td>1503</td><td>640</td><td>2503</td><td>320</td><td>Plant issue fee</td><td></td></tr> <tr><td>1460</td><td>130</td><td>1460</td><td>130</td><td>Petitions to the Commissioner</td><td>130</td></tr> <tr><td>1807</td><td>50</td><td>1807</td><td>50</td><td>Processing fee under 37 CFR 1.17(q)</td><td></td></tr> <tr><td>1806</td><td>180</td><td>1806</td><td>180</td><td>Submission of Information Disclosure Stmt</td><td></td></tr> <tr><td>8021</td><td>40</td><td>8021</td><td>40</td><td>Recording each patent assignment per property (times number of properties)</td><td></td></tr> <tr><td>1809</td><td>770</td><td>2809</td><td>385</td><td>Filing a submission after final rejection (37 CFR 1.129(a))</td><td></td></tr> <tr><td>1810</td><td>770</td><td>2810</td><td>385</td><td>For each additional invention to be examined (37 CFR 1.129(b))</td><td></td></tr> <tr><td>1801</td><td>770</td><td>2801</td><td>385</td><td>Request for Continued Examination (RCE)</td><td></td></tr> <tr><td>1802</td><td>900</td><td>1802</td><td>900</td><td>Request for expedited examination of a design application</td><td></td></tr> <tr><td colspan="6">Other fee (specify) _____</td></tr> <tr> <td colspan="4"></td> <td colspan="2">* Reduced by Basic Filing Fee Paid</td> <td colspan="2">SUBTOTAL (3) \$ 1,080</td> </tr> </tbody> </table>				Large Fee Code	Entity (\$)	Small Fee Code	Entity (\$)	Fee Description	Fee Paid	1051	130	2051	65	Surcharge - late filing fee or oath		1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet		1053	130	1053	130	Non-English specification		1812	2,520	1812	2,520	For filing a request for <i>ex parte</i> reexamination		1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action		1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action		1251	110	2251	55	Extension for reply within first month		1252	420	2252	210	Extension for reply within second month		1253	950	2253	475	Extension for reply within third month	930	1254	1,480	2254	740	Extension for reply within fourth month		1255	2,010	2255	1,005	Extension for reply within fifth month		1401	330	2401	165	Notice of Appeal		1402	330	2402	165	Filing a brief in support of an appeal		1403	290	2403	145	Request for oral hearing		1451	1,510	1451	1,510	Petition to institute a public use proceeding		1452	110	2452	55	Petition to revive - unavoidable		1453	1,330	2453	665	Petition to revive - unintentional		1501	1,330	2501	665	Utility issue fee (or reissue)		1502	480	2502	240	Design issue fee		1503	640	2503	320	Plant issue fee		1460	130	1460	130	Petitions to the Commissioner	130	1807	50	1807	50	Processing fee under 37 CFR 1.17(q)		1806	180	1806	180	Submission of Information Disclosure Stmt		8021	40	8021	40	Recording each patent assignment per property (times number of properties)		1809	770	2809	385	Filing a submission after final rejection (37 CFR 1.129(a))		1810	770	2810	385	For each additional invention to be examined (37 CFR 1.129(b))		1801	770	2801	385	Request for Continued Examination (RCE)		1802	900	1802	900	Request for expedited examination of a design application		Other fee (specify) _____										* Reduced by Basic Filing Fee Paid		SUBTOTAL (3) \$ 1,080	
Large Fee Code	Entity (\$)	Small Fee Code	Entity (\$)					Fee Description	Fee Paid																																																																																																																																																																																										
1051	130	2051	65	Surcharge - late filing fee or oath																																																																																																																																																																																															
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet																																																																																																																																																																																															
1053	130	1053	130	Non-English specification																																																																																																																																																																																															
1812	2,520	1812	2,520	For filing a request for <i>ex parte</i> reexamination																																																																																																																																																																																															
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action																																																																																																																																																																																															
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action																																																																																																																																																																																															
1251	110	2251	55	Extension for reply within first month																																																																																																																																																																																															
1252	420	2252	210	Extension for reply within second month																																																																																																																																																																																															
1253	950	2253	475	Extension for reply within third month	930																																																																																																																																																																																														
1254	1,480	2254	740	Extension for reply within fourth month																																																																																																																																																																																															
1255	2,010	2255	1,005	Extension for reply within fifth month																																																																																																																																																																																															
1401	330	2401	165	Notice of Appeal																																																																																																																																																																																															
1402	330	2402	165	Filing a brief in support of an appeal																																																																																																																																																																																															
1403	290	2403	145	Request for oral hearing																																																																																																																																																																																															
1451	1,510	1451	1,510	Petition to institute a public use proceeding																																																																																																																																																																																															
1452	110	2452	55	Petition to revive - unavoidable																																																																																																																																																																																															
1453	1,330	2453	665	Petition to revive - unintentional																																																																																																																																																																																															
1501	1,330	2501	665	Utility issue fee (or reissue)																																																																																																																																																																																															
1502	480	2502	240	Design issue fee																																																																																																																																																																																															
1503	640	2503	320	Plant issue fee																																																																																																																																																																																															
1460	130	1460	130	Petitions to the Commissioner	130																																																																																																																																																																																														
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)																																																																																																																																																																																															
1806	180	1806	180	Submission of Information Disclosure Stmt																																																																																																																																																																																															
8021	40	8021	40	Recording each patent assignment per property (times number of properties)																																																																																																																																																																																															
1809	770	2809	385	Filing a submission after final rejection (37 CFR 1.129(a))																																																																																																																																																																																															
1810	770	2810	385	For each additional invention to be examined (37 CFR 1.129(b))																																																																																																																																																																																															
1801	770	2801	385	Request for Continued Examination (RCE)																																																																																																																																																																																															
1802	900	1802	900	Request for expedited examination of a design application																																																																																																																																																																																															
Other fee (specify) _____																																																																																																																																																																																																			
				* Reduced by Basic Filing Fee Paid		SUBTOTAL (3) \$ 1,080																																																																																																																																																																																													
<input checked="" type="checkbox"/> Deposit Account: Deposit Account Number: 23-2415 Deposit Account Name: Wilson Sonsini Goodrich & Rosati																																																																																																																																																																																																			
The Director is authorized to: (check all that apply) <input checked="" type="checkbox"/> Charge fee(s) indicated below <input checked="" type="checkbox"/> Credit any overpayments <input type="checkbox"/> Charge any additional fee(s) or any underpayment fee(s) <input type="checkbox"/> Charge fees(s) indicated below, except for the filing fee to the above-identified deposit account.																																																																																																																																																																																																			
FEE CALCULATION																																																																																																																																																																																																			
1. BASIC FILING FEE																																																																																																																																																																																																			
Large Fee Code	Entity (\$)	Small Fee Code	Entity (\$)	Fee Description	Fee Paid																																																																																																																																																																																														
1001	770	2001	385	Utility filing fee																																																																																																																																																																																															
1002	340	2002	170	Design filing fee																																																																																																																																																																																															
1003	530	2003	265	Plant filing fee																																																																																																																																																																																															
1004	770	2004	385	Reissue filing fee																																																																																																																																																																																															
1005	160	2005	80	Provisional filing fee																																																																																																																																																																																															
SUBTOTAL (1)				(\$) 0																																																																																																																																																																																															
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE																																																																																																																																																																																																			
				Extra Claims	Fee from below	Fee Paid																																																																																																																																																																																													
Total Claims		-20** -		x		=																																																																																																																																																																																													
Independent Claims		-3** -		x		=																																																																																																																																																																																													
Multiple Dependent						=																																																																																																																																																																																													
Large Entity	Fee Code	Fee (\$)	Small Entity	Fee Code	Fee (\$)	Fee Description																																																																																																																																																																																													
	1202	18		2202	9	Claims in excess of 20																																																																																																																																																																																													
	1201	86		2201	43	Independent claims in excess of 3																																																																																																																																																																																													
	1203	290		2203	145	Multiple dependent claim, if not paid																																																																																																																																																																																													
	1204	86		2204	43	**Reissue independent claims over original patent																																																																																																																																																																																													
	1205	18		2205	9	**Reissue claims in excess of 20 and over original patent																																																																																																																																																																																													
SUBTOTAL (2)				(\$) 0																																																																																																																																																																																															
**or number previously paid, if greater; For Reissues, see below																																																																																																																																																																																																			
SUBMITTED BY				(Complete if applicable)																																																																																																																																																																																															
Name (Print/Type)	George A. Willman (CUSTOMER NO. 021971)			Registration No. (Attorney/Agent)	41,378		Telephone																																																																																																																																																																																												
Signature				Date	August 25, 2004																																																																																																																																																																																														

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Attorney Docket No. 26836.701.201

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED
CENTRAL FAX CENTER
AUG 25 2004

In re application of: Rajeev Khanolkar et al.

Group No.: 2131

Application No.: 09/640,606

Examiner: Michael R. Vaughan

Filed: August 17, 2000

For: Comprehensive Security Structure Platform for
Network Managers

Commissioner for Patents
Washington, D.C. 20231

**AMENDMENT, PETITION AND FEE TO DELETE AND/OR ADD TO ORIGINAL
ERRONEOUSLY NAMED OR NOT NAMED INVENTOR(S) IN DECLARATION –
NONPROVISIONAL APPLICATION – (37 C.F.R. §1.48(a))**

NOTE: "If the inventive entity is set forth in error in an executed § 1.63 oath or declaration in an application, other than a reissue application, and such error arose without any deceptive intention on the part of the person named as an inventor in error or on the part of the person who through error was not named as an inventor, the application may be amended to name only the actual inventor or inventors." 37 C.F.R. §1.48(a).

NOTE: 37 C.F.R. §1.48(f) states: "If the correct inventor or inventors are not named on filing a nonprovisional application under §1.53(g) without an executed oath or declaration under §1.63 during the pendency of the application will act to correct the earlier identification of inventorship."

"(2) If the correct inventor or inventors are not named on filing a provisional application without a cover sheet under §1.51(c)(1), the later submission of a cover sheet under §1.51(c)(1) during the pendency of the application will act to correct the earlier identification of inventorship."

1. This amendment and petition is to correct the incorrect original naming of the inventor(s) in the declaration under 37 C.F.R. §1.48(a) as set forth and filed on August 17, 2000.
2. Addition and/or Deletion of Inventor(s)

(check and complete all applicable items)

- ☒ Add the following previously unnamed person(s) as inventor(s) of this application:
Araf Karsh Hamid

- ☐ Delete the following previously incorrectly named inventor(s):

3. Attachments
Attached is

(a) A statement from:

(check items below that apply)

☒ each person being added as an inventor that the error in inventorship occurred without deceptive intention on his or her part. 37 C.F.R. §1.48(a)(1).

☐ each person being deleted as an inventor that the error in inventorship occurred without deceptive intention on his or her part. 37 C.F.R. §1.48(a)(2).

(b) a declaration by each of the actual inventor(s) as required by 37 C.F.R. §1.63 (or as permitted by §§1.42, 1.43, OR 1.47). 37 C.F.R. §1.48(a)(2).

(c) written assent of the assignee (if any of the original inventors executed an assignment). 37 C.F.R. §1.48(a)(4).

(check the following item, if all the inventor(s) remaining after this petition and amendment is accepted are not the inventor(s) of the subject matter of all the claim(s) now being claimed)

☐ Attached is an explanation of the facts, including the ownership of all the claim(s) being claimed in this application, including the ownership of all the claim(s) at the time the last claimed invention was made (Declaration of Inventorship and Common Ownership of Claims in Application).

4. Fee Payment (37 C.F.R. §1.17(i))

The fee required is paid as follows:

☐ Enclosed is a check for \$130.00.

☒ Charge Account 23-2415 for any fee deficiency.

☒ Charge Account 23-2415 the sum of \$130.00.

Respectfully submitted,

Date: August 25, 2004

Wilson Sonsini Goodrich & Rosati
650 Page Mill Road
Palo Alto, CA 94304
(650) 493-9300
Customer No. 21971


George A. Willman, Reg. No. 41,378

Attorney Docket No. 26836.701.201

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Rajeev Khanolkar et al.

Application No.: 09/640,606

Filed: August 17, 2000

For: Comprehensive Security Structure Platform for
Network Managers

Group No.: 2131

Examiner: Michael R. Vaughan

RECEIVED
CENTRAL FAX CENTER
AUG 25 2004

Commissioner for Patents
Washington, D.C. 20231

ASSENT OF ASSIGNEE TO CORRECTION
AND/OR ADDITION OF INVENTOR(S)

netForensics, Inc.

(type or print name of assignee)

200 Metroplex Drive, Third Floor, Edison, NJ 08817

Address

Netforensics, assignee of the entire right, title and interest in the above-identified patent application by virtue of Assignments identified below and an Assignment executed by Araf Karsh Hamid, a copy attached hereto, hereby consents to correct the inventorship of the above-identified patent application to include Araf Karsh Hamid as an inventor.


Assignment

- ☒ Recorded on August 17, 2000
Reel 011086
Frame 0540 (11 pages)
- ☒ Recorded herewith
☒ A separate "ASSIGNMENT" (DOCUMENT) COVER SHEET is attached.
or
- ☐ FORM PTO 1595 is attached.

Assignee hereby assents to the correction of inventorship filed

☒ herewith
☐ on _____

Dated: 7/15/04

By: 

Name: Niten Ved

Title: President and COO